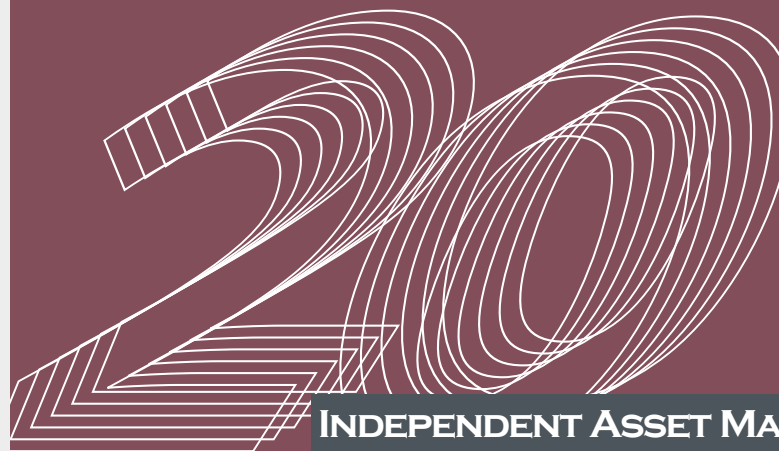


SAAM BASIC SEMINAR: INDEPENDENT ASSET MANAGEMENT, ANTI-MONEY LAUNDERING, DUTY OF DUE DILIGENCE OF THE SAAM AND FINSA

Target audience	Employees, who are involved with AML/ FinSA subjects, employees of Anti-Money Laundering departments, Legal & Compliance, internal and external auditors, in particular SAAM members of External Asset Managers, Asset Managers, Collective Investment Schemes Companies as well as interested persons.
Contents	<p>Overview of AML and the new challenges for Financial Intermediaries (FI)</p> <p>Duty of Due Diligence for Independent Asset Management according to the Code of Conduct of SAAM and FinSA</p> <p>Anti-Money Laundering regulation of the SAAM and AMLO-FINMA</p> <ul style="list-style-type: none"> • Duty of Due Diligence • Duty of keeping records • Organisational measures • Termination of the business relationship • Reporting to the Financial Intelligence Units (MROS) / goAML <p>Consequences of non-compliance with regulations</p> <p>Practical exercises</p>
Events	09.09.2020 Hotel Glockenhof, Zurich
Duration	Half-day seminar, 13.30 – 17.30
Trainers	Experts
Language	English
Costs	CHF 600 excl. VAT
Registration	By email info@pd-consulting.ch or online at www.equilas.ch/dienstleistungen/ausbildung

SAAM ADVANCED SEMINAR: UPDATE 2020: ANTI-MONEY LAUNDERING, DUTY OF DUE DILIGENCE OF THE SAAM AND FINSA, FINIA

Target audience	Employees, who are involved with AML/ FinSA subjects, employees of Anti-Money Laundering departments, Legal & Compliance, internal and external auditors, in particular SAAM members of External Asset Managers, Asset Managers, Collective Investment Schemes Companies as well as interested persons.
Contents	<p>Update of the AML Legislation and new challenges for Financial Intermediaries (FI)</p> <p>Selected subjects relevant to the AML regulation SAAM and AMLO FINMA 20</p> <p>Impact of FinSA, FinIA and new duties for Asset Managers</p> <p>Consequences of non-compliance with regulations</p> <p>Reporting to the Financial Intelligence Units (MROS) / goAML</p> <p>AMLA 2021</p> <p>Practical exercises</p>
Events	14.10.2020 Hotel Glockenhof, Zurich
Duration	Half-day seminar, 13.30 – 17.30
Trainers	Experts
Language	English
Costs	CHF 600 excl. VAT
Registration	By email info@pd-consulting.ch or online at www.equilas.ch/dienstleistungen/ausbildung



INDEPENDENT ASSET MANAGEMENT AND ANTI-MONEY LAUNDERING

Duty of Due Diligence of the SAAM

C1-E SAAM Basic Seminar: Independent Asset Management, Anti-Money Laundering, Duty of Due Diligence of the SAAM and FinSA

C2-E SAAM Advanced Seminar: Update 2020: Anti-Money Laundering, Duty of Due Diligence of the SAAM and FinSA, FinIA



Verband Schweizerischer Vermögensverwalter | VSV
Association Suisse des Gérants de Fortune | ASG
Associazione Svizzera di Gestori di Patrimoni | ASG
Swiss Association of Asset Managers | SAAM